



# E&T Facility Clinical Site Audit

Review Date

Provider Agency Info		Reviewer Information			
Agency Name:		Name:			
Address:		Title:			
Phone:		Phone:			
Fax:		Email:			
		Notes			
Office Manager:					
E&T Clinical Record Score:					
Care Coordination Score:					
Youth E&T Score:					

## E&T Clinical Record Review

Question		Select Your Answer			Comments
1	The clinical record identifies the reasons for admit, legal status, and specifies the referring source (e.g., Voluntary, Involuntary, LRA status, RCW 71.05, transfer from a Single bed certification, etc.). HCA GCBH-ASO contract requirement	Yes	No	N/A	
2	The clinical record shows evidence that the individual was provided a physical examination, psychosocial assessment and mental status examination. HCA GCBH-ASO contract requirement, WAC 246-341-1126 6(a-b)	Yes	No	N/A	
3	An individual Service Plan (ISP) was completed with the individual and identifies specific goals that are measurable and address the reason for admission. HCA GCBH-ASO contract requirement, WAC 246-341-1126 6(c.), WAC 246-341-0620	Yes	No	N/A	

Question		Select Your Answer			Comments
4	The clinical record has evidence that the enrollee was given information on mental health and medical advance directives for individuals age 18 and older. HCA GCBH-ASO Contract Requirement for Provider Monitoring	Yes	No	N/A	
5	There is evidence in the clinical record that the individual's cultural, ethnic, linguistic, disability, or age related needs were addressed (i.e. specialist consults, interpreter services, auxiliary aids, treatment goals or interventions tailored to the individual's culture, ethnicity, spiritual beliefs, traditions, language, age or disability, etc.) in a strength-based manner. HCA GCBH-ASO Contract Requirement for Provider Monitoring, GCBH Practice Guidelines	Yes	No	N/A	
6	A risk assessment addressing potential harm to self and others is completed and updated throughout the individual's length of stay, as necessary. HCA GCBH-ASO Contract Requirement, RCW 71.05/RCW 71.34, GCBH Practice Guidelines	Yes	No	N/A	
7	The Clinical record provides an account of milieu activities utilized by staff to enhance treatment goals and progress towards discharge and documents client participation or non-participation? HCA GCBH-ASO contract requirement.	Yes	No	N/A	
8	The progress notes in the clinical record must include the date, time, duration, participant's name, response to interventions, and a brief summary of the session and the name and credential of the staff member who provided it. WAC 246-341-0640(17)	Yes	No	N/A	
9	Based on individual needs, were medication management services, provided to the individual and documented in their chart, including the protocol and use of involuntary administration of antipsychotic medications? HCA GCBH-ASO contract requirement, WAC 246-341-1124	Yes	No	N/A	

Question		Select Your Answer	Comments
10	The discharge plan identifies the individual's current needs (e.g., outpatient behavioral health referral, medical provider referral, SUD referral, etc.) and utilizes natural and existing community supports to enhance the discharge process and lower risk of re-admission. Includes specific date, time, and location of all post-discharge follow-up appointments. HCA GCBH-ASO Contract Requirement & WAC 246-341-0640(15)(a-c)	Yes    No    N/A	
11	Documentation reveals an effort by staff to work with individuals on completing Medicaid applications for those that are Medicaid eligible. HCA GCBH-ASO contract requirement	Yes    No    N/A	
<b>E&amp;T Clinical Record Score Total</b>			# Yes / (11 - # N/A)
Care Coordination			
Question		Select Your Answer	Comments
11	The treatment plan, with consent of the individual, was coordinated with applicable community partners or other service providers when appropriate (evidenced by signatures of others on the ISP, documentation of team meetings, progress note documenting phone contact and coordination of the ISP, and/or written documentation that a copy was shared, etc.). HCA GCBH-ASO Contract Requirement	Yes    No    N/A	
12	The progress notes demonstrate that coordination of care between the behavioral health providers and other service providers or community partners was provided, as needed. HCA GCBH-ASO Contract Requirement & WAC 246-341-0640(18)	Yes    No    N/A	
13	There is evidence in the clinical record that the individual consented to disclosure of their protected healthcare information with other service providers or community partners when coordination is needed and has been provided. HCA GCBH-ASO Contract Requirement & WAC 246-341-0640(18)	Yes    No    N/A	
<b>Care Coordination Score Total</b>			# Yes / (3 - # N/A)
Youth E&T Specific			

Question		Select Your Answer	Comments
Question		Select Your Answer	Comments
14	The individual was evaluated by a Child Mental Health Specialist within the first 24 hours of arrival or clinical notes clearly reflect why attempts to meet with individual were unsuccessful? WAC 246-341-1128 (3)	Yes    No    N/A	
<b>Youth E&amp;T Score Total</b>			